

COPY OF PAPERS  
ORIGINALLY FILED

2171  
#2  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Marc A. Desbiens and Group Art Unit: 2171  
James W. Sinclair  
Serial No.: 10/015,983 Examiner: Unknown  
Filed: November 2, 2001 Docket No.: 1028-005US01  
Title: COMPUTER-BASED BUSINESS PLANNING PROCESSES

CERTIFICATE UNDER 37 CFR 1.8: I hereby certify that this correspondence is being deposited with the United States Post Service, as First Class Mail, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on May 6, 2002.

By: Samantha J. Rupert  
Name: Samantha J. Rupert

INFORMATION DISCLOSURE STATEMENT

RECEIVED

Commissioner for Patents  
Washington, D.C. 20231

MAY 23 2002

Technology Center 2100

Dear Sir:

Applicant submits the reference listed on the attached form PTO-1449, copies of which are enclosed.


This statement is being filed before the receipt of a first Office Action on the merits.

Respectfully submitted,

Date: May 6, 2002

Kent J. Sieffert  
By: Kent J. Sieffert  
Reg. No. 41,312

Shumaker & Sieffert, P.A.  
8425 Seasons Parkway, Suite 105  
St. Paul, Minnesota 55125  
Phone: (651) 735-1100  
Fax: (651) 735-1102

<b>Form 1449*</b>  <b>INFORMATION DISCLOSURE STATEMENT</b> <b>IN AN APPLICATION</b> (Use several sheets if necessary)	<b>Docket Number:</b> 1028-005US01		<b>Application Number:</b> 10/015,983
	<b>Applicant:</b> Marc A. Desbiens and James W. Sinclair		
	<b>Filing Date:</b> November 2, 2001		<b>Group Art Unit:</b> 2171
	<b>Examiner Name:</b> Unknown		

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Publication Date	Country	Translation	
				Yes	No

**OTHER DOCUMENTS (Including Authors, Title of Item, Page(s), Vol/Issue No., Publisher, Place of Publication)**

	"An Introduction to Database Systems," by C.J. Date, 7 <sup>th</sup> Edition, 2000, Chapter 21 Decision Support, pp. 694-729.
	"CONTROL," by KCI Computing, Inc. of Torrance, CA.
	"e.Planning," by Adaytum of Bloomington, MN.

EXAMINER	Date Considered
----------	-----------------

**\*Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Based on Form PTO-FB-A820  
(Also form PTO-1449)

Patent and Trademark Office, U.S. Department of Commerce